

Global Grant Application

GRANT NUMBER
GG2237782

STATUS
Approved

Basic Information

Grant title

Thousand Smiles Clinic Upgrade

Type of Project

Humanitarian Project

Address community needs and produce sustainable, measurable outcomes

Primary Contacts

Name	Club	District	Sponsor	Role
Robert Self	Old Mission (San Diego)	5340	Club	International
Eduardo Aguilar Sanchez	Ensenada Centenaria	4100	Rotary Club	Host

Committee Members

Host committee

Name	Club	District	Role
Carlos Garcia Lazcano	Ensenada Centenaria	4100	Secondary Contact
Ivan Gallegos	Ensenada Centenaria	4100	Secondary Contact

International committee

Name	Club	District	Role
Lee Kaminetz	Old Mission (San Diego)	5340	Secondary Contact International
Shari Stauder	Old Mission (San Diego)	5340	Secondary Contact International

Do any of these committee members have potential conflicts of interest?

No

Project Overview

Tell us a little about your project. What are the main objectives of the project, and who will benefit from it?

Equipping the Thousand Smiles Ensenada Clinic, Located at AV Mexico 124 (Corner of Av Mexico and 18 de Marzo), Fracc Buenaventura, 22880 Ensenada, B.C., Mexico with additional equipment necessary to improve our treatment of patients. This equipment includes Solar Panels, a new Full Digital Intraoral X Ray, a new High Pressure Vacuum Pump necessary for our Operating Rooms, Audiology testing equipment, Dental and surgical supplies. The X Ray, Vacuum Pump, Audiology Equipment, Dental and Surgical Supplies comply with Mexican health authority norms. Thousand Smiles never uses expired or non approved items. This clinic has been in operation since 1985. It benefits mostly children from about 1 month after birth and occasionally adults from financially challenged families mostly from the Baja California area, focusing on patients with maxillofacial deformities such as cleft lip and palate, but providing full dental care for patients without those deformities. The host Rotarians have done community assessments to find out if the deformities occur at the rate they did when the project started and if there are other projects treating them, that were not active when it started. The recent results of these assessments are that the deformities still occur in approximately 1 out of every 640 births in Mexico and while there are now other programs treating them, it was found that all of them are plastic surgery programs that do cosmetic surgery on the lip beginning at around 8 weeks of age and sometimes soft palate surgery beginning at about 1 year of age. The Thousand Smiles Foundation decided very early on to provide a full complement of necessary services, despite the complexity and commitment this requires. The clinic that has recently been remodeled, has 2 new OR's, a fully equipped Audiology suite, ENT Suite, speech pathology services, surgical screening, digital x-ray, 12 dental chairs with 2 separate rooms for more advanced procedures requiring sedation, instrument sterilization capacity for dental and surgery, and warehouse with a complete inventory of non expired supplies. The clinic is licensed by the Mexican Government and complies with all regulations. Thousand Smiles along with UCLA performs 4 weekend clinics per year. The facility is also used by Baja Comunidad two times per year and Loma Linda University plans on using it about 6 times a year. When the clinic is not being used, we do have one full time and one part time employee on duty at the facility. When the clinic is closed we have a local Orthodontist, a local Maxillofacial Surgeon and a local General Practitioner who perform follow up treatment for the patients. These practitioners are members of the Ensenada Centenaria Rotary Club

Areas of Focus

Which area of focus will this project support?

Disease prevention and treatment

Measuring Success

Disease prevention and treatment

Which goals will your activity support?

Promoting disease prevention and treatment programs that limit the spread of communicable diseases and reduce the incidence and effect of noncommunicable diseases; Providing clinical treatment and rehabilitation for physical disabilities;

How will you measure your project's impact? You need to include at least one standardized measure from the drop-down menu as part of your application.

Measure	Collection Method	Frequency	Beneficiaries
Number of recipients of disease prevention intervention	Direct observation	Every three months	100-499

Do you know who will collect information for monitoring and evaluation?

Yes

Name of Individual or Organization

Thousand Smiles Board of Directors

Briefly explain why this person or organization is qualified for this task.

The Thousand Smiles Board is composed of people directly involved with clinic operation, many of whom have been involved for many years. The Board meets once an month and always addresses the number of patients treated and the quality along with any issues involved in those treatments.

Location and Dates

Humanitarian Project

Where will your project take place?

City or town

Ensenada

Province or state

Baja California

Country

Mexico

When will your project take place?

2023-05-05 to 2024-11-01

Participants

Partners (Optional)

List any other partners that will participate in this project.

Rotarian Participants

Describe the role that host Rotarians will have in this project.

The Host Rotarians participate in each clinic, doing patient registration, patient transportation and housing and lunches for clinic volunteers. They are also in charge of project publicity and on the committee for purchase and final inspection of equipment items. They will chair a committee of host and international

Rotarians that will oversee fund management and reports to the Rotary Foundation.

Describe the role that international Rotarians will have in this project.

International Rotarians make up around 20% of the volunteers at each clinic. They are very involved in the day to day management of the clinics and the clinic facility. International Rotarians will help in ordering and will oversee receiving items purchased in the United States, coordinating payments of these items and transporting and coordinating the importation of the items.

Budget

What local currency are you using in your project's budget?

The currency you select should be what you use for a majority of the project's expenses.

Local Currency	U.S. dollar (USD) exchange rate	Currency Set On
USD	1	17/04/2022

What is the budget for this grant?

List each item in your project's budget. Remember that the project's total budget must equal its total funding, which will be calculated in step 9. Every global grant includes a match of at least \$15,000 from The Rotary Foundation's World Fund. Project budgets, including the World Fund match, must be at least \$30,000.

#	Category	Description	Supplier	Cost in USD	Cost in USD
1	Equipment	Patio roof cover for Solar System	Arq Judith Romero	1911	1911
2	Equipment	Solar System	Solar Energy BC	7873	7873
3	Equipment	Medical Vacuum Pump	Medical Gas Resources	28813.98	28814
4	Equipment	Midmark Intraoral X Ray	Benco Dental	4473.96	4474
5	Equipment	Otoacoustic and Tympanometry Equipment	E3 Diagnostics	12931	12931
6	Supplies	Medical and dental supplies	various	5464	5464
Total budget:				61467	61467

Supporting Documents

- Cotizacion_Techo_Lámina_Azotea_Clinica_Mil_Sonrisas_(2).docx
- Cotizacio n_Solar_Energy_BC.pdf
- E3_Diagnostics_-ThousandSmiles_GSI39_Corti_(1)-1.pdf
- Medical_Vacuum_3_HP_Single_Phase.docx
- Sweetwater_PREVA_DC.pdf
- importacion_BOMBA_VACIO_MIL_SONSRISAS.pdf

Funding

Tell us about the funding you've secured for your project. We'll use the information you enter here to calculate your maximum possible funding match from the World Fund.

#	Source	Details	Amount (USD)	Support*	Total
1	Cash from Club	Ensenada Centenaria	1,000.00	50.00	1,050.00
2	Cash from Club	Rancho Bernardo Sunrise (San Diego)	8,000.00	400.00	8,400.00
3	District Designated Fund (DDF)	5340	18,000.00	0.00	18,000.00
4	District Designated Fund (DDF)	5330	1,593.00	0.00	1,593.00
5	District Designated Fund (DDF)	4100	3,000.00	0.00	3,000.00
6	Cash from Club	Old Mission (San Diego)	10,000.00	500.00	10,500.00
7	Cash from Club	Hemet Sunset	1,800.00	90.00	1,890.00

*Whenever cash is contributed to the Foundation to help fund a global grant project, an additional 5 percent is applied to help cover the cost of processing these funds. Clubs and districts can receive Paul Harris Fellow recognition points for the additional expense.

How much World Fund money would you like to use on this project?

You may request up to 18,074.00 USD from the World Fund.

18074

Funding Summary

DDF contributions:	22,593.00
Cash contributions:	20,800.00
Financing subtotal (matched contributions + World Fund):	61,467.00
Total funding:	61,467.00
Total budget:	61,467.00

Sustainability

Humanitarian Projects

Project planning

Describe the community needs that your project will address.

The Mexican community has a population segment that is severely challenged financially. These people many times lack basic non urgent health and dental care that most take for granted. The country also has about 1 in every 640 children born with facial deformities such as cleft lip and palate. These children are often sheltered and many do not even go to school. The government has programs to treat these children but unfortunately

does not offer comprehensive care, including orthodontia and bone grafting, and also does not have the capacity to treat all of the candidates even with simple cosmetic soft tissue repair. The cleft lip and palate deformities require a more complicated journey for the patients and their families. Thousand Smiles occupies a very unique space in this area. The care they provide is comprehensive, and they are committed to long term follow up for each patient that needs help. It begins at less than two months of age these patients are frequently seen into their 20's. Feeding at an early age affects developmental nutrition, chronic ear infections affect hearing and ability to interact and form speech, cosmetic abnormalities impact socialization and self-esteem, and importantly these all occur during the most critical developmental periods for these children. The majority of other surgical outreach efforts focus on a single aspect of care, often only surgical repair. Thousand Smiles decided very early on to provide a full complement of necessary services, despite the complexity and commitment this requires. The clinic that has recently been remodeled, has 2 new OR's, a fully equipped Audiology suite, ENT suite, speech pathology services, surgical screening, digital x-ray, 12 dental chairs with 2 separate rooms for more advanced procedures requiring sedation, instrument sterilization for dental and surgery, and a warehouse with computerized inventory control. The facility is as good or better than what you would find in the United States. It is a fully credentialed facility, meeting the same requirements of the other outpatient hospitals in Mexico. The surgeons are the top in the field. Although teaching does occur, the primary mission is to deliver the best possible outcomes to our patients.

How did your project team identify these needs?

Our project team has been working with this foundation for many years. We have had input not only from the Thousand Smiles team, but also from their local partners such as DIF - Ensenada (Desarroyo Integral Familiar), Hospital General de Ensenada and Rotarians from the area.

How were members of the benefiting community involved in finding solutions?

The Ensenada Centenaria Rotary advertises prior to clinics to inform potential patients and their families. The project receives patient referrals from local doctors, from the Hospital General de Ensenada, and from DIF - Ensenada.

How were community members involved in planning the project?

The project planning sessions are and have been attended by members of the Ensenada Centenario Rotary and The Thousand Smiles Foundation Team

Project implementation

Summarize each step of your project's implementation.

Do not include sensitive personal data, such as government ID numbers, religion, race, health information, etc. If you include personal data, you are responsible for informing those whose personal data is included that you are providing it to Rotary and that it will be processed in accordance with Rotary's [Privacy Policy](#).

#	Activity	Duration
1	Purchase, import and install items	180 days

Will you work in coordination with any related initiatives in the community?

Yes

Briefly describe the other initiatives and how they relate to this project.

Desarroyo Integral Familiar (DIF - Ensenada) - Locating, screening and delivering patients to the facility. Local orphanages send us patients,

Please describe the training, community outreach, or educational programs this project will include.

UCLA and Loma Linda University are planning on sending medical and dental students and residents to the facility to compliment their training. We will invite UABC in Baja California to do the same.

How were these needs identified?

The solar system was decided on by the board of Directors. After the organization's donations decreased significantly during the covid Pandemic, the Board decided on trying to decrease the clinics monthly costs. The vacuum system was requested by the surgical team. Presently the operating rooms use the dental vacuum system which has lower pressure than that needed in surgery. The x ray will replace an older unit which is having some frequent issues and is not considered reliable anymore. Unfortunately many of our procedures are impossible or would be too risky without x rays, so the clinic needs a reliable unit. The audiology items were requested by the people on that team at a recent clinic to replace worn out items that are not functional anymore.

What incentives (for example, monetary compensation, awards, certification, or publicity), will you use, if any, to encourage community members to participate in the project?

none

List any community members or community groups that will oversee the continuation of the project after grant-funded activities conclude.

The Thousand Smiles Foundation, Fundación Mil Sonrisas, A.C, (Mexican sister foundation), Club Rotario Ensenada Centenaria, DIF - Ensenada, UCLA Dental Faculty, Loma Linda University Dental Faculty, Hospital Filadelfia (Tijuana)

Budget

Will you purchase budget items from local vendors?

No

Explain the process you used to select vendors.

Competitive bidding. Solar System and roof expansion will be purchased from local vendors. All other items will be purchased in the United States

Did you use competitive bidding to select vendors?

Yes

Please provide an operations and maintenance plan for the equipment or materials you anticipate purchasing for this project. This plan should include who will operate and maintain the equipment and how they will be trained.

Operation of the equipment is done by trained and licensed doctors, dentists, nurses and technical people with experience in the area.

Describe how community members will maintain the equipment after grant-funded activities conclude. Will replacement parts be available?

Minor maintenance is performed by Thousand Smiles volunteers. Regular preventative maintenance and repairs are contracted with local technicians specializing in the various types of equipment. Parts are available for all items..

If the grant will be used to purchase any equipment, will the equipment be culturally appropriate and conform to the community's technology standards?

Yes

Please explain.

All medical and dental equipment must conform to local regulations or it cannot be imported and cannot be used in a licensed facility such as this one.

After the project is completed, who will own the items purchased by grant funds? No items may be owned by a Rotary district, club, or member.

Fundación Mil Sonrisas, A.C.

Funding

Does your project involve microcredit activities?

n/a

Have you found a local funding source to sustain project outcomes for the long term?

Yes

Please describe this funding source.

Thousand Smiles Foundation and Fundación Mil Sonrisas, A.C. are funded by multiple donations from individuals, charitable foundations, Rotary Clubs and firms. It has been in operation since 1985. It presently has an operating budget, not including equipment purchases of around \$85,000 per year.

Will any part of the project generate income for ongoing project funding? If yes, please explain.

No, this project does not generate any income aside from donations

Supporting Documents

- Cotizacion_Techo_Lámina_Azotea_Clinica_Mil_Sonrisas_(2).docx
- Cotizacio n_Solar_Energy_BC.pdf
- GG22237782_MOU.pdf
- GG2237782_President's_Letter.pdf
- Medical_Vacuum_3_HP_Single_Phase.pdf
- Medical_Vacuum_Specs_3_HP.pdf
- PrevaSpecs.pdf
- Solar_panel_-1.jpg
- Solar_panel_-4.jpg
- Vacuum_pump_location_-1.jpg
- Vacuum_pump_location_-2.jpg
- importacion_BOMBA_VACIO_MIL_SONSRISAS.pdf
- thousand_smiles_foundation__ensenada_(subtitled)_(1080p).mp4

Authorizations

Authorizations & Legal Agreements

Legal agreement

Global Grant Agreement - to be authorized by the primary contacts and club presidents (or DRFC chairs if district-sponsored)

This Global Grant Agreement (Agreement) is entered into by The Rotary Foundation of Rotary International (TRF) and the grant sponsors (Sponsors). In consideration of receiving this Rotary Foundation Global Grant (Grant) from TRF, the Sponsors agree that:

1. All information contained in this application is, to the best of our knowledge, true and accurate.
2. We have read the Terms and Conditions for Rotary Foundation Global Grants (Terms and Conditions) and will adhere to all policies therein.
3. The Sponsors shall defend, indemnify, and hold harmless Rotary International (RI) and TRF, including their respective directors, trustees, officers, committee members, employees, agents, associate foundations and representatives (collectively Rotary), from and against all claims, including but not limited to claims of subrogation, demands, actions, damages, losses, costs, liabilities, expenses (including reasonable attorney's fees and other legal expenses), awards, judgments, and fines asserted against or recovered from Rotary arising out of any act, conduct, omission, negligence, misconduct, or unlawful act (or act contrary to any applicable governmental order or regulation) resulting directly or indirectly from a Sponsor's and/or participant's involvement in grant-funded activities, including all travel related to the grant.
4. The failure of the parties to comply with the terms of this Agreement due to an act of God, strike, government regulation, war, fire, riot, civil unrest, hurricane, earthquake, or other natural disasters, acts of public enemies, curtailment of transportation facilities, political upheavals, civil disorders, outbreak of infectious disease or illness, acts of terrorism, or any similar cause beyond the control of the parties shall not be deemed a breach of this Agreement. In such an event, the Agreement shall be deemed terminated and the Sponsors shall refund to TRF all unexpended global grant funds within 30 days of termination.
5. TRF's entire responsibility is expressly limited to payment of the total financing amount. TRF does not assume any further responsibility in connection with this grant.
6. TRF reserves the right to cancel the grant and/or this Agreement without notice upon the failure of either or both of the Sponsors to abide by the terms set forth in this Agreement and the Terms and Conditions. Upon cancellation, TRF shall be entitled to a refund from the Sponsors of any global grant funds, including any interest earned, that have not been expended.
7. The laws of the State of Illinois, USA, without reference to its conflicts of laws principles, shall govern all matters arising out of or relating to this Agreement, including, without limitation, its interpretation, construction, performance, and enforcement.
8. Any legal action brought by either party against the other party arising out of or relating to this Agreement must be brought in either, the Circuit Court of Cook County, State of Illinois, USA or the Federal District Court for the Northern District of Illinois, USA. Each party consents to the exclusive jurisdiction of these courts, and their respective appellate courts for the purpose of such actions. Nothing herein prohibits a party that obtains a judgment in either of the designated courts from enforcing the judgment in any other court. Notwithstanding the foregoing, TRF may also bring legal action against Sponsors and/or individuals traveling on grant funds in any court with jurisdiction over them.
9. This Agreement binds and benefits the parties and their respective administrators, legal representatives, and permitted successors and assigns.
10. If any provision of this Agreement is determined to be illegal, invalid or unenforceable, the remaining provisions of this Agreement shall remain in full force and effect.
11. Sponsors may not assign any of their rights under this Agreement except with the prior written consent of TRF. Sponsors may not delegate any performance under this Agreement without the prior written consent of TRF. Any purported assignment of a Sponsor's rights or delegation of performance without TRF's prior written consent is void.
12. TRF may assign some or all of its rights under this Agreement to an associate foundation of TRF. TRF may delegate any performance under this Agreement to an associate foundation. Any other purported assignment of TRF's rights or delegation of performance without the Sponsors' prior written consent is void.
13. Sponsors will comply with all economic and trade sanctions, including those implemented by the Office of Foreign Assets Control (OFAC) of the United States Department of Treasury, and will ensure that they do

not support or promote violence, terrorist activity or related training, or money laundering.

14. This Agreement constitutes the final agreement between the parties. No amendment or waiver of any provision of this Agreement shall be effective unless it is in the form of a writing signed by the parties.

15. Rotary may use information contained in this application and subsequent reports for promotional purposes, such as in Rotary magazine, in Rotary Leader, on rotary.org and on social media. For any and all photographs submitted with any application or follow-up report, the Sponsor hereby grants to Rotary an unlimited, perpetual, worldwide right and license to use, modify, adapt, publish, and distribute the photograph(s) in any media now known or hereafter devised, including but not limited to, in Rotary publications, advertisements, and Websites and on social media channels. The Sponsor represents and warrants that (a) each adult appearing in the photograph(s) has given her/his/their unrestricted written consent to the Sponsor to photograph them and to use and license their likeness, including licensing the photograph(s) to third parties, (b) the parent or guardian of each child under age 18 or each person who lacks legal capacity appearing in the photograph(s) has given unrestricted written consent to the Sponsor to photograph the child or individual and to use and license their likenesses, including licensing the photograph(s) to third parties, and (c) it is the copyright owner of the photograph(s) or that the copyright owner of the photograph(s) has given the Sponsor the right to license or sublicense the photograph(s) to Rotary.

16. Privacy is important to Rotary and any personal data that the Sponsor shares with Rotary will only be used for official Rotary business. The Sponsor should minimize the personal data of Grant beneficiaries that it shares with TRF to only personal data that TRF specifically requests. Personal data that is shared with TRF will be used to enable the Sponsor's participation in this Grant process, to facilitate the Sponsor's Grant experience and for reporting purposes. Personal data provided to TRF may be transferred to Rotary service providers (for example, affiliated entities) to assist Rotary in planning Grant-related activities. By applying for a grant, the Sponsor may receive information about the Grant and supplementary services via email. For further information about how Rotary uses personal data, please contact privacy@rotary.org. Personal data provided to TRF or collected on this form is subject to [Rotary's Privacy Policy](#).

17. The Sponsors agree to share information on best practices when asked, and TRF may provide their contact information to other Rotary members who may wish advice on implementing similar activities.

18. The Sponsors will ensure that all individuals traveling on grant funds have been informed of the travel policies stated in the Terms and Conditions and have been made aware that they are responsible for obtaining travel insurance.

19. To the best of our knowledge and belief, all relationships between grant committee members, district officers, and other members of the sponsor clubs or districts and any scholarship recipients, cooperating organizations, project vendors, or other individuals or organizations that will benefit from the grant have been disclosed in this application. Except as disclosed here, neither we nor any person with whom we have or had a personal or business relationship will benefit or intends to benefit from Rotary Foundation grant funds or have any interest that may represent a potential conflicting interest. A conflict of interest occurs when someone is in a position to make or influence a decision about a grant or scholarship that could benefit them, their family, their business, or an entity in which they serve in a paid or voluntary leadership or advisory position.

Primary contact authorizations

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District Rotary Foundation chair authorization

I hereby certify that this global grant application is complete, meets all Foundation guidelines, is eligible for funding, and that the sponsoring club and/or district is qualified.

All Authorizations & Legal Agreements Summary

Primary contact authorizations

Name	Club	District	Status	
Robert Self	Old Mission (San Diego)	5340	Authorized	Authorized on 30/01/2023
Eduardo Aguilar Sanchez	Ensenada Centenaria	4100	Authorized	Authorized on 23/02/2023

District Rotary Foundation chair authorization

Name	Club	District	Status	
Marta Knight	Chula Vista Sunrise	5340	Authorized	Authorized on 16/03/2023
Ramon Lopez Juvera	Mexicali Oeste	4100	Authorized	Authorized on 09/02/2023

DDF authorization

Name	Club	District	Status	
Charles Pretto	San Diego	5340	Authorized	Authorized on 16/03/2023
Marta Knight	Chula Vista Sunrise	5340	Authorized	Authorized on 16/03/2023
Douglas Baker	La Quinta of the Desert	5330	Authorized	Authorized on 02/11/2022
Donald Casper	Indio	5330	Authorized	Authorized on 01/11/2022
Jesus Godínez Muñoz	Mexicali Oeste	4100	Authorized	Authorized on 09/11/2022
Victor Caballero Salas	La Paz Balandra	4100	Authorized	Authorized on 09/11/2022

Legal agreement

Name	Club	District	Status	
Donald Krupp	Old Mission (San Diego)	5340	Accepted	Accepted on 01/02/2023
Héctor Benet Contreras	Ensenada Centenaria	4100	Accepted	Accepted on 15/02/2023