
CLIFFORD A. HARSTAD, LTD.
Attorneys At Law

One E. Wacker Drive
Suite 1700
Chicago, IL 60601

Phone (312) 782-5010
Fax (312) 782-4324
E-Mail: c.harstad@gozdel.com

01/07/2019

Rotary District Grants

Re: Rotary Club of Chicago Financial District
Project P-2495

Good Afternoon,

Attached please find the paid checks and other supporting documentation from the Smart Museum of Art at UChicago for a total of \$12,946.84. The check for the balance of the project cost is payable to the Smart Museum and has not been cashed. I will forward the same as soon as I can obtain a copy.

Sincerely,



Clifford A. Harstad


encl

CHASE for BUSINESS


Printed from Chase for Business

Check

Front

ROTARY CLUB CHICAGO-FIN DIST FOUNDATION ACCOUNT 65 W JACKSON BLVD #103 CHICAGO, ILLINOIS 60604		CHASE JPMorgan Chase Bank, N.A. www.chase.com 2-1/710	1496
PAY TO THE ORDER OF <u>Josephine McEntee</u>		\$ **5,998.84	5/6/2019
Five Thousand Nine Hundred Ninety-Eight and 84/100		DOLLARS	
Josephine McEntee			
MEMO		AUTHORIZED SIGNATURE	
⑈001496⑈ ⑆071000013⑆ 386882929⑈			

Back

43340000581166 - 051719 BMO Harris Bank N.A. >071000288<	
⑆051719 0388133400009⑆ 581166	


Post date
May 17, 2019Check #
1496Check amount
\$5,998.84

CHASE for BUSINESS

Printed from Chase for Business

Check

Front

CHASE JPMorgan Chase Bank, N.A. www.Chase.com 2-1/710		1497
ROTARY CLUB CHICAGO-FIN DIST FOUNDATION ACCOUNT 65 W JACKSON BLVD #103 CHICAGO, ILLINOIS 60604		5/6/2019
PAY TO THE ORDER OF <u>Rodney Finley</u>		\$ **1,000.00
One Thousand and 00/100		DOLLARS
Rodney Finley		 AUTHORIZED SIGNATURE
MEMO		
⑈001497⑈ ⑆071000013⑆		386882929⑈

Back

Security Features Details on back

Rodney S. Finley

Post date
May 20, 2019

Check #
1497


Check amount
\$1,000.00

CHASE *for* BUSINESS

Printed from Chase for Business

Check

Front

ROTARY CLUB CHICAGO-FIN DIST FOUNDATION ACCOUNT 65 W JACKSON BLVD #103 CHICAGO, ILLINOIS 60604		CHASE JPMorgan Chase Bank, N.A. www.Chase.com 2-1/710	1500
PAY TO THE ORDER OF <u>Sunrise Charter Service</u>		6/6/2019	
		\$ **198.00	
<u>One Hundred Ninety-Eight and 00/100</u>			DOLLARS
MEMO Sunrise Charter Service 8500 South Vincennes Chicago, IL 60620		 AUTHORIZED SIGNATURE	
⑈001500⑈ ⑆071000013⑆		386882929⑈	

Back

		11998 389681006992 For Deposit Only
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Post date
Jun 17, 2019Check #
1500Check amount
\$198.00

CHASE *for* BUSINESS


Printed from Chase for Business

Check

Front

ROTARY CLUB CHICAGO-FIN DIST		CHASE 	1501
FOUNDATION ACCOUNT 65 W JACKSON BLVD #103 CHICAGO, ILLINOIS 60604		JPMorgan Chase Bank, N.A. www.Chase.com 2-1/710	
PAY TO THE ORDER OF <u>Nicole Bond</u>		5/6/2019	
		\$ **2,500.00	
<u>Two Thousand Five Hundred and 00/100</u>			DOLLARS
Nicole Bond 1507 E. 53rd Street #234 Chicago, IL 60615			
MEMO		AUTHORIZED SIGNATURE	
⑈00150⑈ ⑆071000013⑆		386882929⑈	

Back

11878020340 06/10/19 BEVERLY 0071026356<	
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Post date
Jun 10, 2019Check #
1501Check amount
\$2,500.00

CHASE *for* BUSINESS


Printed from Chase for Business

Check

Front


ROTARY CLUB CHICAGO-FIN DIST FOUNDATION ACCOUNT 65 W JACKSON ILVD #103 CHICAGO, ILLINOIS 60604		CHASE JPMorgan Chase Bank, N.A. www.chase.com 2-1/710	1503
PAY TO THE ORDER OF <u>Alisha Webster</u>		6/6/2019	DOLLARS
Five Hundred and 00/100		\$ **500.00	
Alisha Webster 10737 S. Michigan Ave Chicago, IL 60628		AUTHORIZED SIGNATURE	
MEMO			
⑈001503⑈ ⑆071000013⑆		386882929⑈	

Back

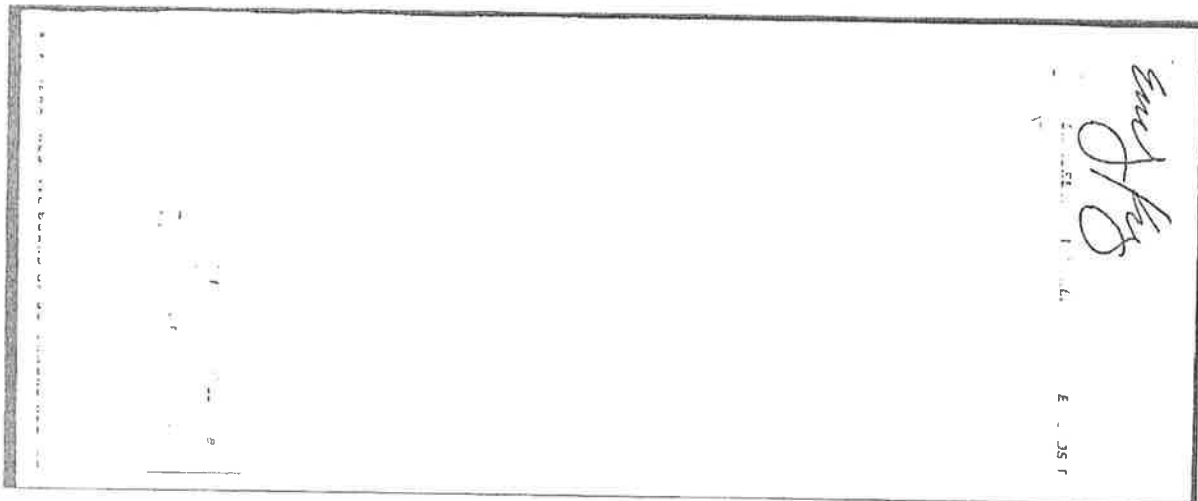
For Deposit Only - JPMC	
	

Post date
Jun 12, 2019Check #
1503Check amount
\$500.00

Front

ROTARY CLUB CHICAGO-FIN DIST FOUNDATION ACCOUNT 65 W JACKSON BLVD #103 CHICAGO, ILLINOIS 60604		CHASE JPMorgan Chase Bank, N.A. www.Chase.com 2-1/710	1504
PAY TO THE ORDER OF <u>Emily Kang</u>		\$ **250.00	6/6/2019
<u>Two Hundred Fifty and 00/100</u>		DOLLARS	
Emily Kang 290 Bellington Lane St. Louis, MO 63141		 AUTHORIZED SIGNATURE	
MEMO			
⑈001504⑈ ⑆071000013⑆ 386882929⑈			

Back



The back of the check shows a vertical MICR line on the left and a signature on the right. The signature is written vertically and appears to be "Emily Kang".

Post date
Jun 26, 2019

Check #
1504


Check amount
\$250.00

CHASE *for* BUSINESS

Printed from Chase for Business

Check

Front

ROTARY CLUB CHICAGO-FIN DIST FOUNDATION ACCOUNT 65 W JACKSON BLVD #103 CHICAGO, ILLINOIS 60604		CHASE JPMorgan Chase Bank, N.A. www.Chase.com 2-1/710	1505
PAY TO THE ORDER OF <u>Brandon Sherrod</u>		6/6/2019	\$ **2,500.00
<u>Two Thousand Five Hundred and 00/100</u>		DOLLARS	
Brandon Sherrod 872 E. 133rd Street Chicago, IL 60827		 AUTHORIZED SIGNATURE	
MEMO			
⑆00⑆1505⑆ ⑆07⑆10000⑆13⑆ 38688 29 29⑆			

Back

<p>DO NOT WRITE IN THESE SPACES</p> <p>DO NOT WRITE IN THESE SPACES</p> <p>DO NOT WRITE IN THESE SPACES</p>	<p>>021407912<</p> <p>CAPITAL ONE, NA</p> <p>0088689822 06132019</p> <p>RICHMOND, VA 015 22</p> <p>360 RDC Deposit 36062851562</p>	<p>CHECK HERE AFTER MOBILE OR APPOLIS 7200</p> <p>DO NOT WRITE IN THESE SPACES</p> <p>DO NOT WRITE IN THESE SPACES</p>
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Post date
Jun 13, 2019

Check #
1505

Check amount
\$2,500.00



SMART MUSEUM OF ART
THE UNIVERSITY OF CHICAGO

4.2.19

Nicole Bond

Dear Nicole,

My colleagues and I are delighted that you have agreed to participate as a lead teaching artist for our *Rotary Art + Peace program* in conjunction with the Smart Museum's K-12 school partnership efforts. This letter contains important information regarding responsibilities, compensation, and deadlines, and confirms our arrangement with you as an educator.

As we have discussed, during the Rotary Art + Peace program you will design and deliver an 8-week residency program for 3rd grade students at UChicago NKO Charter and 4th grade students at Westcott Elementary.

Your class sessions will take place on Mondays between March 25th and May 13th at UChicago NKO Charter.

Your class sessions will take place on Thursdays between April 4th and May 30th at Westcott Elementary.

This is work for hire; for preparing and delivering your project, the Smart Museum will compensate you with a \$1250 honorarium for each class, payable within six weeks of the date of your talk—provided that we have received from you this signed agreement, and all completed payment forms. This payment includes \$100 for each contact hour with students, as well as \$250 for the curricular design and planning meetings. Because you are working with two classrooms, you will receive a total of \$2500. You are an independent service provider for this work and as such, the Smart Museum shall not be responsible for payment of income or withholding taxes or public liability or workers' compensation insurance for you.

The Smart Museum reserves the right to cancel the event due to inclement weather or other factors outside the Smart Museum's reasonable control. Contractor may not cancel this engagement with less than one month's written notice, except due to illness that could prevent contractor from participating in the event. In the event of such cancellation, the cancelling party will endeavor to give the other party as much advance notice of cancellation as is reasonably possible. As a lecturer, you are an independent

[date]
[Name]
Page 2

contractor, and as such, the Smart Museum shall not be responsible for payment of income or withholding taxes or public liability or workers' compensation insurance for contractor.

You hereby warrant to the Smart Museum that your talk will be an original work, and will be in no way a violation of existing copyright; that it will not contain anything obscene, libelous or defamatory and that all statements contained therein purporting to be facts are to the best of your knowledge and belief true.

If the arrangements stated in this letter are acceptable, please sign one of the copies and return it to the Smart Museum in the envelope provided, keeping the other for your records. In addition, please complete the tax forms, also enclosed separately.

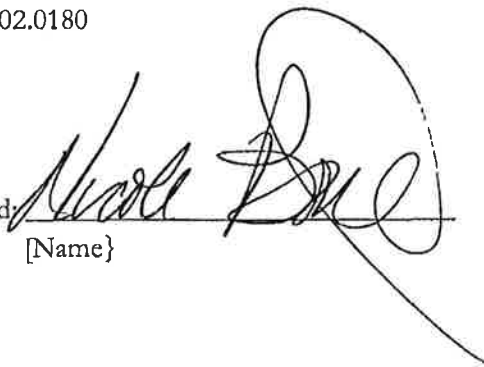
I very much look forward to continue working with you on this project. Thank you again for agreeing to take part in it. Please let me know if you have questions at any point.

With best wishes,

Jason Pallas
Manager of Community Engagement and Arts Learning
jpallas@uchicago.edu
773.702.0180

Signed:

[Name]

A handwritten signature in black ink, appearing to read "Jason Pallas", written over a horizontal line.

Date:

04/08/2019

Request for Taxpayer Identification Number and Certification

Give Form to the
requester. Do not
send to the IRS.

▶ Go to www.irs.gov/FormW9 for instructions and the latest information.

Print or type.
See Specific Instructions on page 3.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank. Nicole BOND		
2 Business name/disregarded entity name, if different from above		
3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only one of the following seven boxes. <input checked="" type="checkbox"/> Individual/sole proprietor or single-member LLC <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ▶ Note: Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner. <input type="checkbox"/> Other (see instructions) ▶	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any) _____ Exemption from FATCA reporting code (if any) _____ <small>(Applies to accounts maintained outside the U.S.)</small>	
5 Address (number, street, and apt. or suite no.) See instructions. 1507 E. 53rd St #234	Requester's name and address (optional)	
6 City, state, and ZIP code Chicago IL 60615		
7 List account number(s) here (optional)		

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Note: If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.

Social security number	
321	-62-9340
or	
Employer identification number	

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign Here	Signature of U.S. person ▶ Nicole Bond	Date ▶ 04/08/2019
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General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.

Smart Museum of Art

Opening the World Through Art and Ideas

5550 S Greenwood Ave
Chicago, IL 60637
773.702.0180

INVOICE

DATE: April 3, 2019
INVOICE # 280013-2019
FOR: Rotary Smart Art --
Nicole Bond at
Westcott Elementary
and Uchicago NKO

Bill To:

Vickie Dorgan, Cliff Harstad, Dennis Gorman, et. al.
Rotary Club Financial District
65 W. Jackson Blvd., Box 103
Chicago, IL 60604
773.728.8853

DESCRIPTION	AMOUNT
Teaching Artist - 2 classrooms @ \$1250 per class	\$2,500
TOTAL	\$ 2,500.00

Make all checks payable to **Nicole Bond**

If you have any questions concerning this invoice, contact **Jason Pallas**, 773.702.0180, jpallas@uchicago.edu

THANK YOU FOR YOUR BUSINESS!



SMART MUSEUM OF ART
THE UNIVERSITY OF CHICAGO

4.4.19

Brandon Sherrod

Dear Brandon,

My colleagues and I are delighted that you have agreed to participate as a lead teaching artist for our *Rotary Art + Peace program* in conjunction with the Smart Museum's K-12 school partnership efforts. This letter contains important information regarding responsibilities, compensation, and deadlines, and confirms our arrangement with you as an educator.

As we have discussed, during the Rotary Art + Peace program you will design and deliver an 8-week residency program for 3rd and 4th grade students at Carnegie Elementary.

Your class sessions will take place on Thursdays and Fridays between May 17th and June 13th at Carnegie.

This is work for hire; for preparing and delivering your project, the Smart Museum will compensate you with a \$1250 honorarium for each class, payable within six weeks of the date of your talk—provided that we have received from you this signed agreement, and all completed payment forms. This payment includes \$100 for each contact hour with students, as well as \$250 for the curricular design and planning meetings. Because you are working with two classrooms, you will receive a total of \$2500. You are an independent service provider for this work and as such, the Smart Museum shall not be responsible for payment of income or withholding taxes or public liability or workers' compensation insurance for you.

The Smart Museum reserves the right to cancel the event due to inclement weather or other factors outside the Smart Museum's reasonable control. Contractor may not cancel this engagement with less than one month's written notice, except due to illness that could prevent contractor from participating in the event. In the event of such cancellation, the cancelling party will endeavor to give the other party as much advance notice of cancellation as is reasonably possible. As a lecturer, you are an independent

[date]
[Name]
Page 2

contractor, and as such, the Smart Museum shall not be responsible for payment of income or withholding taxes or public liability or workers' compensation insurance for contractor.

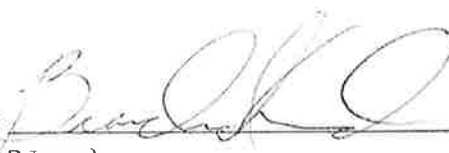
You hereby warrant to the Smart Museum that your work will be an original work, and will be in no way a violation of existing copyright; that it will not contain anything obscene, libelous or defamatory and that all statements contained therein purporting to be facts are to the best of your knowledge and belief true.

If the arrangements stated in this letter are acceptable, please sign one of the copies and return it to the Smart Museum in the envelope provided, keeping the other for your records. In addition, please complete the tax forms, also enclosed separately.

I very much look forward to continue working with you on this project. Thank you again for agreeing to take part in it. Please let me know if you have questions at any point.

With best wishes,

Jason Pallas
Manager of Community Engagement and Arts Learning
jpallas@uchicago.edu
773.702.0180

Signed: 
[Name]

Date: 4/24/2019

Request for Taxpayer Identification Number and Certification

Give Form to the
requester. Do not
send to the IRS.

► Go to www.irs.gov/FormW9 for instructions and the latest information.

Print or type.
See Specific Instructions on page 3.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank. <u>Brandon L. Shivers</u>		
2 Business name/disregarded entity name, if different from above		
3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only one of the following seven boxes. <input checked="" type="checkbox"/> Individual/sole proprietor or single-member LLC <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ► _____ Note: Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner. <input type="checkbox"/> Other (see instructions) ► _____		4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any) _____ Exemption from FATCA reporting code (if any) _____ <small>(Applies to accounts maintained outside the U.S.)</small>
5 Address (number, street, and apt. or suite no.) See instructions. <u>6720 E. 133rd St.</u>		Requester's name and address (optional)
6 City, state, and ZIP code <u>Chicago IL 60827</u>		
7 List account number(s) here (optional)		

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Note: If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.

Social security number										
3	5	2	-	8	8	-	5	9	6	1
or										
Employer identification number										
			-							

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign Here	Signature of U.S. person ► <u>Brandon L. Shivers</u>	Date ► <u>4/24/2019</u>
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General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

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- Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)
Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What Is backup withholding, later.



SMART MUSEUM OF ART
THE UNIVERSITY OF CHICAGO

3.29.19

Alisha Webster

Dear Alisha,

My colleagues and I are delighted that you have agreed to participate as docent support staff for our *Rotary Art + Peace program* in conjunction with the Smart Museum's K-12 school partnership efforts. This letter contains important information regarding responsibilities, compensation, and deadlines, and confirms our arrangement with you as an educator.

As we have discussed, during the Rotary Art + Peace program you will support the in-class delivery of an 8-week residency program for 3rd grade students at UChicago NKO Charter and also at Carnegie Elementary.

Your class sessions will take place on Fridays between March 29th and May 10th at UChicago NKO Charter.

Your class sessions will take place on Fridays between May 17 – June 13th at Carnegie Elementary.

This is work for hire; for preparing and delivering your project, the Smart Museum will compensate you with a \$250 honorarium for each class, payable within six weeks of the date of your talk—provided that we have received from you this signed agreement, and all completed payment forms. This payment includes \$25 for each contact hour with students, including the museum visits. Because you are working with two classrooms, you will receive a total of \$500. You are an independent service provider for this work and as such, the Smart Museum shall not be responsible for payment of income or withholding taxes or public liability or workers' compensation insurance for you.

The Smart Museum reserves the right to cancel the event due to inclement weather or other factors outside the Smart Museum's reasonable control. Contractor may not cancel this engagement with less than one month's written notice, except due to illness that could prevent contractor from participating in the event. In the event of such cancellation, the cancelling party will endeavor to give the other party as much advance notice of cancellation as is reasonably possible. As a lecturer, you are an independent

[date]

[Name]

Page 2

contractor, and as such, the Smart Museum shall not be responsible for payment of income or withholding taxes or public liability or workers' compensation insurance for contractor.

You hereby warrant to the Smart Museum that your talk will be an original work, and will be in no way a violation of existing copyright; that it will not contain anything obscene, libelous or defamatory and that all statements contained therein purporting to be facts are to the best of your knowledge and belief true.

If the arrangements stated in this letter are acceptable, please sign one of the copies and return it to the Smart Museum in the envelope provided, keeping the other for your records. In addition, please complete the tax forms, also enclosed separately.

I very much look forward to continue working with you on this project. Thank you again for agreeing to take part in it. Please let me know if you have questions at any point.

With best wishes,

Jason Pallas
Manager of Community Engagement and Arts Learning
jpallas@uchicago.edu
773.702.0180

Signed: _____

Alisha Webster

[Name]

Date: _____

04/25/19

Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

▶ Go to www.irs.gov/FormW9 for instructions and the latest information.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.
Aisha S Webster

2 Business name/disregarded entity name, if different from above

3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only one of the following seven boxes.
☒ Individual/sole proprietor or single-member LLC
☐ C Corporation
☐ S Corporation
☐ Partnership
☐ Trust/estate
☐ Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ▶
Note: Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner.
☐ Other (see instructions) ▶

4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):
Exempt payee code (if any) _____
Exemption from FATCA reporting code (if any) _____
Specify to account maintainers outside this box

5 Address (number, street, and apt. or suite no.) See instructions.
10937 S Michigan Ave

6 City, state, and ZIP code
Chicago, IL 60628

7 List account number(s) here (optional)

Requester's name and address (optional)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Note: If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.

Social security number
339-96-9215
or
Employer identification number
-

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign Here

Signature of U.S. person ▶

[Signature]

Date ▶

09-25-19

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.



SMART MUSEUM OF ART
THE UNIVERSITY OF CHICAGO

4.10.19

Emily Kang

Dear Emily,

My colleagues and I are delighted that you have agreed to participate as docent support staff for our *Rotary Art + Peace program* in conjunction with the Smart Museum's K-12 school partnership efforts. This letter contains important information regarding responsibilities, compensation, and deadlines, and confirms our arrangement with you as an educator.

As we have discussed, during the Rotary Art + Peace program you will support the in-class delivery of an 8-week residency program for 3rd grade students at Carnegie Elementary.

Your class sessions will take place on Thursdays between May 17th and June 13th at Carnegie Elementary.

This is work for hire; for preparing and delivering your project, the Smart Museum will compensate you with a \$250 honorarium for each class, payable within six weeks of the date of your talk—provided that we have received from you this signed agreement, and all completed payment forms. This payment includes \$25 for each contact hour with students, including the museum visits. Because you are working with one classroom, you will receive a total of \$250. You are an independent service provider for this work and as such, the Smart Museum shall not be responsible for payment of income or withholding taxes or public liability or workers' compensation insurance for you.

The Smart Museum reserves the right to cancel the event due to inclement weather or other factors outside the Smart Museum's reasonable control. Contractor may not cancel this engagement with less than one month's written notice, except due to illness that could prevent contractor from participating in the event. In the event of such cancellation, the cancelling party will endeavor to give the other party as much advance notice of cancellation as is reasonably possible. As a lecturer, you are an independent

[date]
[Name]
Page 2

contractor, and as such, the Smart Museum shall not be responsible for payment of income or withholding taxes or public liability or workers' compensation insurance for contractor.

You hereby warrant to the Smart Museum that your talk will be an original work, and will be in no way a violation of existing copyright; that it will not contain anything obscene, libelous or defamatory and that all statements contained therein purporting to be facts are to the best of your knowledge and belief true.

If the arrangements stated in this letter are acceptable, please sign one of the copies and return it to the Smart Museum in the envelope provided, keeping the other for your records. In addition, please complete the tax forms, also enclosed separately.

I very much look forward to continue working with you on this project. Thank you again for agreeing to take part in it. Please let me know if you have questions at any point.

With best wishes,

Jason Pallas
Manager of Community Engagement and Arts Learning
jpallas@uchicago.edu
773.702.0180

Signed: Emily Kang
[Name]

Date: 11 April 2019

Request for Taxpayer Identification Number and Certification

► Go to www.irs.gov/FormW9 for instructions and the latest information.

Give Form to the
requester. Do not
send to the IRS.

Print or type.
See Specific Instructions on page 3.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank. Emily Kang	
2 Business name/disregarded entity name, if different from above	
3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only one of the following seven boxes.	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any) _____ Exemption from FATCA reporting code (if any) _____ <small>(Applies to accounts maintained outside the U.S.)</small>
<input type="checkbox"/> Individual/sole proprietor or single-member LLC <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate	
<input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ► _____ Note: Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner.	
<input type="checkbox"/> Other (see instructions) ► _____	
5 Address (number, street, and apt. or suite no.) See instructions. 290 Bellington Lane	Requester's name and address (optional) _____ _____
6 City, state, and ZIP code St. Louis, MO, 63141	
7 List account number(s) here (optional)	

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Note: If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.

Social security number									
4	9	5	-	1	5	-	2	5	4
or									
Employer identification number									
			-						

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign Here	Signature of U.S. person ► Emily Kang	Date ► 10 April 2019
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General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.



SMART MUSEUM OF ART
THE UNIVERSITY OF CHICAGO

4.2.19

Jacqueline Finley

Dear Jacqueline,

My colleagues and I are delighted that you have agreed to participate as a lead teaching artist for our *Rotary Art + Peace program* in conjunction with the Smart Museum's K-12 school partnership efforts. This letter contains important information regarding responsibilities, compensation, and deadlines, and confirms our arrangement with you as an educator.

As we have discussed, during the Rotary Art + Peace program you will design and deliver an 8-week residency program for 3rd grade students at UChicago NKO Charter.

Your class sessions will take place on Fridays between March 29th and May 10th at UChicago NKO Charter.

This is work for hire; for preparing and delivering your project, the Smart Museum will compensate you with a \$550 honorarium for each class, payable within six weeks of the date of your talk—provided that we have received from you this signed agreement, and all completed payment forms. This payment includes \$50 for each contact hour with students, as well as \$250 for the curricular design and planning meetings. Because you are working with one classrooms, you will receive a total of \$550. You are an independent service provider for this work and as such, the Smart Museum shall not be responsible for payment of income or withholding taxes or public liability or workers' compensation insurance for you.

The Smart Museum reserves the right to cancel the event due to inclement weather or other factors outside the Smart Museum's reasonable control. Contractor may not cancel this engagement with less than one month's written notice, except due to illness that could prevent contractor from participating in the event. In the event of such cancellation, the cancelling party will endeavor to give the other party as much advance notice of cancellation as is reasonably possible. As a lecturer, you are an independent

[date]

[Name]

Page 2

contractor, and as such, the Smart Museum shall not be responsible for payment of income or withholding taxes or public liability or workers' compensation insurance for contractor.

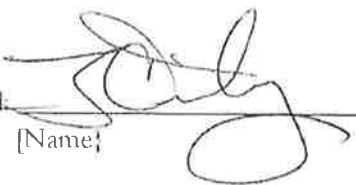
You hereby warrant to the Smart Museum that your talk will be an original work, and will be in no way a violation of existing copyright; that it will not contain anything obscene, libelous or defamatory and that all statements contained therein purporting to be facts are to the best of your knowledge and belief true.

If the arrangements stated in this letter are acceptable, please sign one of the copies and return it to the Smart Museum in the envelope provided, keeping the other for your records. In addition, please complete the tax forms, also enclosed separately.

I very much look forward to continue working with you on this project. Thank you again for agreeing to take part in it. Please let me know if you have questions at any point.

With best wishes,

Jason Pallas
Manager of Community Engagement and Arts Learning
jpallas@uchicago.edu
773.702.0180

Signed: 
[Name]

Date: 4/2019

Request for Taxpayer Identification Number and Certification

Adapted for University of Chicago use only.

Give Form to the
requester. Do not
send to the IRS.

Go to www.irs.gov/FormW9 for instructions and the latest information.

Are you a University of Chicago Student? ☐ Yes ☒ No

If YES, provide Student ID#

Have you ever been employed by the University of Chicago? ☒ Yes ☐ No

If YES, provide dates of employment: August 2014 - current

If you are not a U.S. Citizen or Permanent Resident, please indicate your date of first entry into the U.S.

Visa classification upon first entry into the U.S.

1. Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.

Sacquette Cortney

2. Business name/disregarded entity name, if different from above

3. Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only one of the following seven boxes.

☒ Individual/sole proprietor or single-member LLC

☐ C Corporation

☐ S Corporation

☐ Partnership

☐ Trust/estate

☐ Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ▶

Note: Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner.

☐ Other (see instructions) ▶

4. Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):

Exempt payee code (if any)

Exemption from FATCA reporting code (if any)

(Applies to accounts maintained outside the U.S.)

5. Address (number, street, and apt. or suite no.) See instructions

1455 East 67th Place

6. City, state, and ZIP code

Chicago, Illinois, 60637

7. List account number(s) here (optional)

Requester's name and address (optional)

Print or type.
See Specific Instructions on page 3.

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Note: If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.

Social security number

320-62-8103

or

Employer identification number

Part II Certification

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- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
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Sign
Here

Signature of
U.S. person ▶

Date ▶

May 8, 2019

General Instructions

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Purpose of Form

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- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
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- Form 1099-A (acquisition or abandonment of secured property)

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